

Report to:	Trust Board
Title of report:	Finance, Performance and Estates Committee Assurance Report to Board
Date of meeting:	21 March 2019
Chairperson:	Gill Ponder, Non-Executive Director
Author:	Jayne Warner, Trust Secretary

Purpose	<p>This report summarises the assurances received and key decisions made by the Finance, Performance and Estates Committee (FPEC). The report details the strategic risks considered by the Committee on behalf of the Board and any matters for escalation for the Board's response.</p> <p>This assurance committee meets monthly and takes scheduled reports from all Trust operational committees according to an established work programme.</p>
Assurances received by the Committee	<p>Assurance in respect of SO 2d Issue: Revised Financial Position and Financial Recovery Plan</p> <p>Source of assurance: The Committee received details of the Trust financial position. The Trust reported a year to date deficit of £83.7m at Month 11 which was £1.2m ahead of forecast position from the Financial Recovery Plan.</p> <p>The Trust has delivered a financial position in line with the revised forecast for five consecutive months and remains on track to deliver the revised forecast year end position.</p> <p>The Committee noted that pay costs remained high. Additional control measures had been put in place in relation to non-pay spending for Month 12.</p> <p>The Committee was asked to support the escalation of the approval of new borrowing to the Trust Board to the value of £11.825m revenue borrowing and £0.661m capital borrowing for May 2019. The Committee gave its support after seeking further clarity on why the capital request was so low.</p>
	<p>Assurance in respect of SO 2d Issue: 2019/20 Contract Agreement</p> <p>Source of assurance: The Trust and Commissioners had narrowed the gap in terms of agreement of the 2019/20 contract. There remained some queries in relation to data quality.</p> <p>The committee noted that the Trust would be in a position to sign the heads of terms later that week.</p>
	<p>Lack of assurance in respect of SO 2d Issue: CQUIN Achievement</p> <p>Reason for lack of Assurance: The committee received a report detailing</p>

	<p>the risks to the achievement of the 2018/19 CQUIN income. It was noted that the forecast position remained 86% and this presented a potential risk to the delivery of the FRP, although the overall forecast remained consistent.</p> <p>Actions requested by the committee: The Committee noted the processes being put in place to manage CQUINs during 2019/20 and would continue to be updated on these.</p> <p>Assurance in respect of SO 2d Issue: Financial Efficiency Programme</p> <p>Source of Assurance: The Committee received the latest FEP position. Assumption was that the Trust would deliver £15.9m against a plan of £15.1m. Plans had been delivered for five months since the FRP was approved.</p>
	<p>Assurance in respect of SO2d Issue: Annual Plan 2019/20</p> <p>Source of assurance: Draft annual plan provided. Committee agreed to recommend draft plan to Trust Board after some suggested amendments had been made and also requested that a more accessible, summary version of the final plan be produced by the Communications team for staff and public.</p>
	<p>Lack of assurance in respect of SO 2d Issue: Capital Prioritisation</p> <p>Reason for lack of assurance: The Committee were asked to note the risk based approach to capital prioritisation for the capital programme for 2019/20. The requests would be considered at the Executive Team and then Trust Board in May.</p> <p>Action requested by committee: That the Board apply the principles of the risk appetite work to the capital programme prioritisation.</p>
	<p>Lack of assurance in respect of SO 2e Issue: Estates Backlog Maintenance and Critical Infrastructure</p> <p>The Committee noted with concern the level of planned fire safety capital works planned for April 2019.</p> <p>Actions requested by the Committee: Asked for more detailed assurance that the work remained on schedule at its next meeting, that the fire service had agreed to the extension of the works and for assurance on the impact of the work completed to date in ensuring that the Trust met the required standards.</p>
	<p>Lack of assurance in respect of SO 5.5.2 Issue: Failure to deliver Cancer Standards</p>

	<p>Reason for lack of assurance: The Committee was advised that the Trust 62 day cancer performance had deteriorated in January reflecting the issues previously highlighted in Oncology. These issues had now stabilised. Delays in the pathology service continued to impact. An improved performance was expected for March, as the number of patients waiting more than 62 days had reduced significantly as a result of the actions taken to improve performance .</p> <p>Actions requested by Committee: The Committee requested that the cancer trajectories be brought to the next meeting with details of recovery actions for any areas which were not on plan.</p>
	<p>Lack of Assurance in respect of SO 5.5.2 Issue: Urgent Care Improvement Actions</p> <p>Source of Assurance: Urgent Care Improvement Actions. The Trust continued to struggle to deliver satisfactory performance in delivering the 4 hour A&E standard. Improvement continued to be seen in primary care streaming. Activity remained above plan.</p> <p>However the Committee received a detailed report on actions taken and the improvements being seen.</p> <p>Actions requested by the Committee: The Committee requested an assurance report against the actions in the improvement plan to clearly show the monthly improvement trajectory, where actions were delivering the anticipated improvements and where the plan was off track , along with the remedial action taken.</p>
	<p>Assurance in respect of SO 5.5.2 Issue: Planned Care</p> <p>Source of assurance: The Committee was assured that the incomplete waiting list size remained on target to the end of January and the Trust was confident of delivery at the year end.</p> <p>52 week waiters remained on target to reach 0 by end of March.</p>
	<p>Assurance was received in respect of cyber security, digital strategy maturity, IT infrastructure and IG and Information security.</p> <p>The report provided further assurance which had been requested from the Committee relating to milestone plans for e prescribing, cyber continuity testing plans and compliance with outstanding areas of the data security standards toolkit.</p>

Issues where assurance remains outstanding for escalation to the Board	None
Items referred to other Committees for Assurance	None
Committee Review of corporate risk register	Corporate risks were noted.
Matters identified which Committee recommend are escalated to SRR/BAF	<p>The Committee was assured that the SRR/BAF was reflective of the key risks in respect of the strategic objectives of the organisation. Assurances received were noted and updates were made to the BAF control gaps and mitigations.</p> <p>As a result of assurances received Committee considered whether the RAG rating in respect of objective 2d Deliver financial target agreed by the Board be improved. It was agreed that whilst the Trust continued to demonstrate delivery of the revised financial plan the adjustments required in year would not allow the Trust to improve the RAG rating.</p>
Committee position on assurance of strategic risk areas that align to committee	The Committee received an upward report from the EU Exit Contingency Planning Group and remained assured with the plans and actions being taken in respect of potential risks associated with the EU Exit.
Areas identified to visit in dept walk rounds	None

Voting Members	A	M	J	J	A	S	O	N	D	J	F	M
Gill Ponder Non Exec Director	X	X	X	X	X	X	X	A	X	X	X	X
Geoff Hayward Non Exec Director	X	X	X	X	X	X	X	X	X	X	X	X
Chris Gibson Non Exec Director	X	A	X	X	X	A	X	X	X	X	X	X
Deputy Chief Executive	X	A	A	X	X	X	A	X	X	X	X	A
Director of Finance	X	X	D	X	X	X	X	X	X	X	X	X
Chief Operating Officer	X	X	X	X	X	X	X	X	A	X	D	X
Director of Estates and Facilities	X	D	X	X	X	X	X	X	D	X	D	A

Attendance Summary for rolling 12 month period

X in attendance A apologies given D deputy attended