

United Lincolnshire Hospitals

NHS Trust

Report to:	Trust Board
Title of report:	Audit Committee Report to Trust Board
Date of meeting:	30 March 2017
Status:	For Discussion
Chairperson:	Mr Geoff Hayward, Non Executive Director
Author:	Mrs Jayne Warner, Trust Secretary

Purpose	This report summarises the assurances received, approvals and decisions made by the Audit Committee.
Background	This committee meets at least quarterly and takes scheduled reports from the Trust Internal and External Audit Providers according to an established work programme.
Business undertaken	<p>External Audit Plan</p> <p>The Committee were advised that the initial work ahead of the final accounts had commenced. The Committee were advised that progress was as it would be expected at this stage.</p>
	<p>Internal Audit</p> <p>The Committee were advised that there was still a concern about the number of limited assurances being received by the Trust on internal audit reports. There continued to be progress with recommendations but this was slow and the Trust needed to increase efforts in following these actions up. Both areas would negatively impact on the Head of Internal Audit Opinion at the year end.</p> <p>The Committee reviewed the draft internal audit plan and approved.</p>
	<p>Counter fraud</p> <p>The Committee reviewed all ongoing fraud cases and noted the good response to counter fraud surveys.</p>
	<p>Risk Update</p> <p>The Committee noted the actions being taken to strengthen risk management. The Committee recognised that progress had been hampered by capacity in the team. Committee members were able to provide assurance on the actions being taken in the Committees.</p>
	<p>Committee Self Assessment</p> <p>Committee were asked to complete the self assessment checklist and return to the Trust Secretary. Responses would then be summarised and presented to the Committee.</p>
Risks to refer to risk register	No additional risks to highlight.

Key decisions taken	Agreement of Internal Audit Plan for 2017/18
Issues to escalate to Board	Risk of limited assurance Head of Internal Audit Opinion Statement and need to focus on SRR/BAF and agreed audit actions.
Challenges and exceptions	None identified
Future exceptional items	

Attendance

Voting members

Mr Geoff Hayward (Chair)

Mrs Kate Truscott

In attendance

Mr Peter Hollinshead, Interim Director of Finance and Corporate Affairs

Mr Tony Crawley, KPMG

Mr Simon Gascoigne, Deputy Director 360 Assurance

Mr Mike Norman, KPMG

Mr Pete Riches, LCFS

Mr Kevin Turner, Deputy Chief Executive

Sarah Dunnett, Non Executive Director (observing)