

To:	Trust Board
From:	Medical Director
Date:	May 2019

Title:	Corporate Risk Report						
Responsible Director: Dr Neill Hepburn, Medical Director							
Author: Paul White, Risk Manager							
Purpose of the Report:							
The purpose of this report is to enable the Trust Board to:							
<ul style="list-style-type: none"> • Review the management of significant risks within the Trust's corporate and operational risk registers • Evaluate the effectiveness of the Trust's management of risk and the extent of risk exposure at this time 							
The Report is provided to the Committee for:							
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Decision</td> <td style="width: 50%;"></td> </tr> </table>		Decision		<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Discussion</td> <td style="width: 50%;"></td> </tr> </table>		Discussion	
Decision							
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<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Assurance</td> <td style="width: 50%; text-align: center;">✓</td> </tr> </table>		Assurance	✓	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Information</td> <td style="width: 50%;"></td> </tr> </table>		Information	
Assurance	✓						
Information							
Summary/Key Points:							
<ul style="list-style-type: none"> • There are 5 risks on the Corporate Risk Register with a current rating of Very high, and 31 rated High: <ul style="list-style-type: none"> ○ 2 of the Very high risks relate to finances; 3 relate to service disruption (demand; workforce; and estate) • There are 37 operational risks that are currently rated as High risk: <ul style="list-style-type: none"> ○ In Medicine Division the highest risks relate to workforce; the estate; and finances ○ In Surgery Division the highest risks relate to workforce; equipment; delayed discharge; and patient safety ○ In Family Health Division the highest risks relate to workforce; patient safety; and regulatory compliance ○ In Clinical Support Services Division the highest risks relate to workforce; equipment; information; and the estate 							
Recommendations							
That the Trust Board considers the content of the report and advises if any further action is required to improve the management of risk within the Trust.							

<p>Strategic Risk Register Corporate risks that are considered to be of strategic significance will be referenced within the Board Assurance Framework (BAF).</p>	<p>Performance KPIs year to date Performance in reviewing risk in accordance with the Risk Management Policy is reported regularly to the Audit Committee.</p>
<p>Assurance Implications This report enables the Trust Board to review the effectiveness of the Trust’s management risks in order to evaluate current risk control strategies and the extent of risk exposure at this time.</p>	
<p>Patient and Public Involvement (PPI) Implications The effectiveness of the Trust’s risk and corporate governance arrangements is reported through the Annual Governance Statement (AGS) and is included in the opinion of both internal and external audit. As such, it may influence the degree of confidence that patients and members of the public have in the Trust.</p>	
<p>Equality Impact The Trust’s Risk Management Policy has been assessed for equality impact and no issues were identified.</p>	
<p>Information exempt from Disclosure – No</p>	
<p>Requirement for further review? No</p>	

1. Purpose of the Report

- 1.1 The purpose of this report is to enable the Trust Board to:
- Review the management of significant risks within the Trust’s corporate and operational risk registers
 - Evaluate the effectiveness of the Trust’s management of risk and the extent of risk exposure at this time

2. Recommendations

- 2.1 That the Trust Board considers the content of the report and advises if any further action is required to improve the management of risk within the Trust.

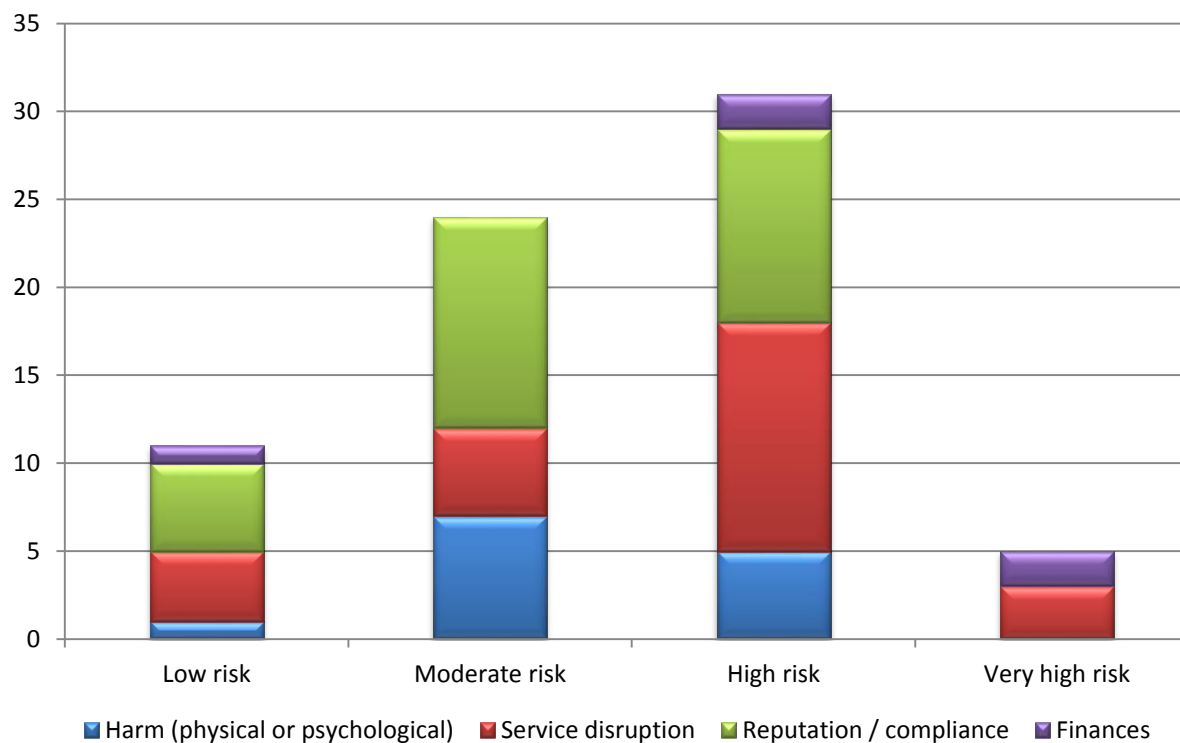
3. Reasons for Recommendations

- 3.1 It is essential that the Trust Board maintains an awareness of the extent of risk exposure within the Trust and has confidence in the effectiveness of the Trust’s risk management arrangements.

4. Summary of Key Points

Corporate Risk Profile

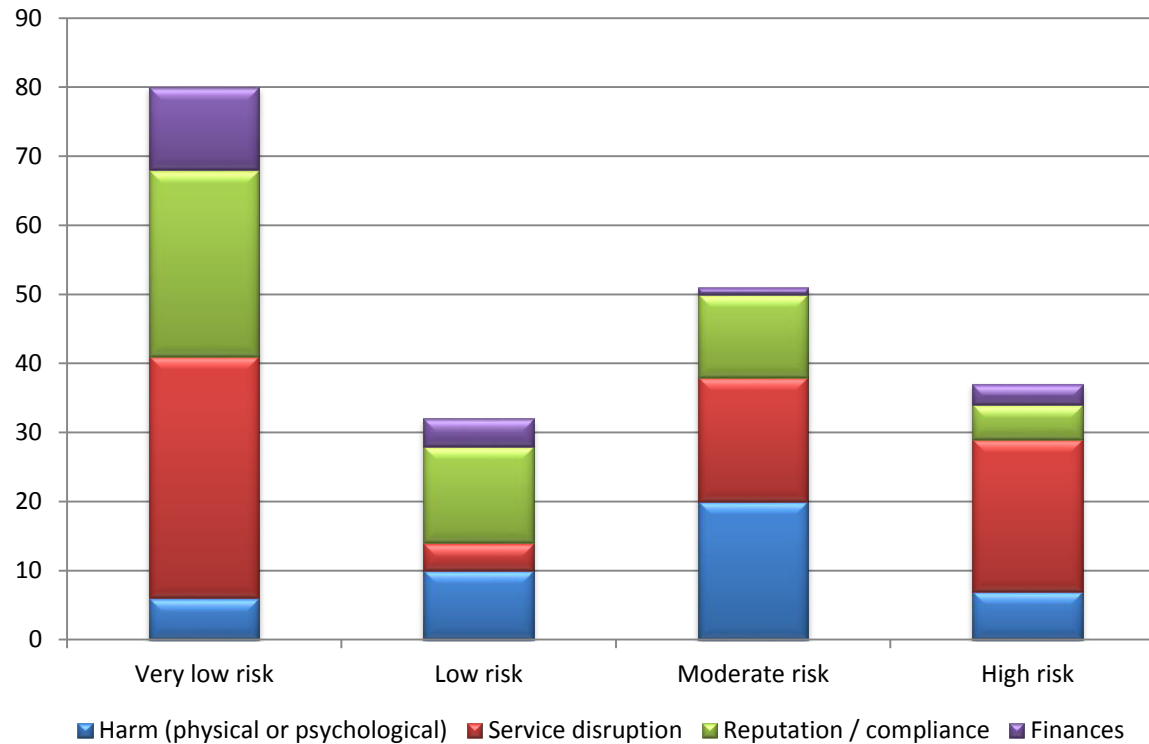
4.1 **Chart 1** shows the number of corporate & emergent risks (those assessed at multiple business unit or Trust-wide impact level) by current risk rating and risk type:



4.2 A report showing details of all High and Very high risks currently recorded on the Corporate Risk Register, along with Critical and High priority mitigating actions, is included as **Appendix I**.

Operational Risk Profile

4.3 **Chart 2** shows the number of operational risks (those assessed at divisional business unit impact level) by current risk rating:



4.4 A summary report showing all operational risks that are currently rated as **High risk** is included as **Appendix II**.

Risk grading

4.5 A copy of the Risk Scoring Guide, which is used to evaluate all risks with the Trust’s risk registers, is included for reference as **Appendix III**.