

Report to:	Trust Board
Title of report:	Finance Service Improvement and Delivery Committee Assurance Report to Board
Date of meeting:	17 July 2018
Chairperson:	Gill Ponder Non Executive Director
Author:	Jayne Warner, Trust Secretary

Purpose	<p>This report summarises the assurances received and key decisions made by the Finance, Service Improvement and Delivery Committee (FSID). The report details the strategic risks considered by the Committee on behalf of the Board and any matters for escalation for the Board's response.</p> <p>This assurance committee meets monthly and takes scheduled reports from all Trust operational committees according to an established work programme.</p>
Assurances received by the Committee	<p>Lack of assurance in respect of SO 2d Issue: Financial Position</p> <p>Source of assurance: The committee received the Month 3 financial position. The Trust's year to date revenue position at Month 3 is £1.5m adverse to plan.</p> <p>The Committee remained unassured around the Trust's ability to achieve the financial plan. The improved position in month had been assisted with balance sheet adjustments and the monthly run rate had not improved. Elective activity was down, non elective activity was up and agency? staffing had increased. The Committee remained concerned about the control over CQUINs. The financial plan was still not signed off by NHSI.</p> <p>The Committee requested details of the mitigations being put in place in respect of the £9.5m level of risks identified in the plan. In future any variations within directorates against plans needed to be highlighted earlier and narrative provided of actions being taken to get back on plan.</p> <p>Lack of assurance in respect of SO 2d Issue: Cash Position</p> <p>Source of assurance: The committee received the Trust's cash position as at 30 June 2018.</p> <p>The Committee remained concerned about the Trust cash position. The Trust continued to manage cash on a daily basis however there was no assurance that this could be maintained going forward without support. The committee are not assured that the position is being improved due to</p>

	<p>the current financial position. However, the Committee were assured that all possible steps were being taken to manage cashflow on a daily basis. The Committee requested approval from the Board for capital loan drawdown of £2.976m for September 2018 against the single currency interim capital support facility agreement.</p> <p>Lack of Assurance in respect of SO 2d Issue: Financial Efficiency Programme</p> <p>Source of assurance: The Committee received an update in relation to 2018/19 FEP Plans. FEP delivery was £0.5m adverse to plan.</p> <p>The Committee noted the arrival of the TD and that plans were being challenged through the FTG meeting now chaired by the TD. Additional support to be brought in to drive some of the programmes. Emergency measures were being considered to increase pace. The Committee were not assured that delivery was being achieved at pace, as many schemes had still not completed the planning stage, let alone started delivering financial savings. The Committee requested assurance from the FTG that all schemes had been defined and were starting to deliver against plans at the next meeting.</p> <p>Assurance in respect of SO 2c Issue Directorate performance Pilgrim Medicine</p> <p>The Committee received assurances in respect of actions being taken by Pilgrim Surgery to deliver improvements in the delivery of their services and constitutional standards and the progress they had made against FEP targets.</p>
	<p>Lack of assurance in respect of SO 2c Issue: Delivery of Cancer Performance</p> <p>Source of assurance: Integrated Performance Report</p> <p>The Committee were not assured that the Trust would be able to deliver the constitutional standard consistently this year, but were assured that the Trust remained on track with the agreed recovery trajectory to achieve 85% by September. The plans remained high risk, due to vacancies in some specialties.</p>
	<p>Lack of assurance in respect of SO5.5.2 Issue: Delivery of Urgent Care</p> <p>Source of assurance: Integrated Performance Report</p> <p>The Committee were advised that the Trust was behind trajectory for urgent care for June as had been anticipated. ED attendance remained above plan and levels of GP streaming were significantly below plan. Plans were in place to address the issues however there were significant risks within the plans, due to growth in demand, workforce challenges</p>

	<p>and a growth in stranded patients believed to be due to a reduction in care home beds in the county.</p> <p>As a result, the Committee were not assured of the Trusts ability to remain on trajectory for A&E 4 hour recovery, due to the high level of risk in the recovery plans and requested further assurance on plans to get streaming up to target levels.</p>
	<p>Lack of assurance in respect of SO 2c Issue: RTT Performance</p> <p>Source of assurance: Integrated Performance Report</p> <p>The Committee were advised that a risk summit would be held for Head and Neck linked to workforce availability. The Trust continued to work with external partners.</p> <p>The Committee were assured that plans were in place to recover RTT performance to the agreed recovery trajectory, despite a reduction in recent performance caused by reduced capacity in some specialties and the effects of Winter and the especially severe weather. The Trust was on track to achieve waiting list target levels and to eliminate people waiting over 52 weeks by the end of the year.</p>
	<p>Lack of assurance in respect of SO 2e Issue: Estates Strategy Assurance</p> <p>Source of assurance: Estates Strategy Assurance Report</p> <p>Due to financial constraints, the Committee were not assured about the level of compliance with all statutory maintenance requirements, but were assured that the Trust was on track to achieve actions required for compliance with the Fire Enforcement Notices.</p> <p>The Committee were satisfied that the way assurances were provided had improved and that there were plans to improve compliance in key areas. However, the Committee requested further information on the allocation of available funds between statutory and non-statutory requirements, to obtain assurance that everything possible was being done to meet the Trust's statutory obligations as soon as possible within the funding available.</p>
Issues where assurance remains outstanding for escalation to the Board	<p>The Committee escalated to the Board the lack of impact of the streaming work and the consequence for attendances in the ED.</p>
Items referred to other Committees for Assurance	<p>No matters to refer to other Committees.</p>
Committee Review of corporate risk register	<p>A cleansed corporate risk register was presented to the committee. The committee noted that full review of the risks remaining on the register</p>

	were still ongoing and these were linked to the revised BAF.
Matters identified which Committee recommend are escalated to SRR/BAF	No matters to escalate to BAF.
Committee position on assurance of strategic risk areas that align to committee	The Committee had not received assurances on SO1c, SO2g and SO2h and requested that these were on the Committee agenda for the August meeting.
Areas identified to visit in dept walk rounds	None

Attendance Summary for rolling 12 month period

Voting Members	A	S	O	N	J	F	M	A	M	J	J
Gill Ponder Non Exec Director	X	X	X	X	X	X	X	X	X	X	X
Geoff Hayward Non Exec Director	X	A	X	X	A	X	X	X	X	X	X
Chris Gibson Non Exec Director		X	X	X	X	X	A	X	A	X	X
Deputy Chief Executive	X	X	A	X	X	X	X	X	A	A	X
Director of Finance and Corporate Affairs	X	A	X	X	X	X	X	X	X	D	X
Chief Operating Officer	X	A	D	D	A	D	D	X	X	X	X
Director of Estates and Facilities	X	X	X	X	X	X	X	X	D	X	X
Medical Director	X	X	A	A	A	A	A	X	A	D	X

X in attendance A apologies given D deputy attended