

United Lincolnshire Hospitals

NHS Trust

To:	Trust Board
From:	Jayne Warner
Date:	9 May 2017
Essential Standards:	

Title:	Self-Declaration to NHS Improvement										
Author/Responsible Director:	Trust Secretary										
Purpose of the Report:	To enable the Board to sign off the self-declaration required by NHS Improvement.										
The Report is provided to the Board for:	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Decision</td> <td style="width: 10%; text-align: center;">X</td> <td style="width: 50%;">Discussion</td> <td style="width: 10%;"></td> </tr> <tr> <td>Assurance</td> <td></td> <td>Information</td> <td></td> </tr> </table>			Decision	X	Discussion		Assurance		Information	
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Assurance		Information									

Summary/Key Points:

NHS Improvement advised Boards on the 21 April 2017 of a new requirement to provide an annual self-certification that they can meet the obligations set out in the NHS provider licence and show that they have complied with governance requirements.

This is the first year that NHS Trusts must self-certify. NHS Trusts are exempt from meeting the provider licence, directions from the Secretary of State require NHSI to ensure that NHS Trusts comply with conditions equivalent to the licence as it deems appropriate.

The Single Oversight Framework bases its oversight on the NHS provider licence. NHS Trusts are therefore legally subject to the equivalent provider licence conditions (including Condition G6 and condition FT4) and must self-certify under these licence provisions.

This means that the Trust needs to self-certify for the following conditions after the financial year end.

Condition G6 The provider has taken all precautions necessary to comply with the licence, NHS Acts and Constitution.

Condition FT4 The provider has complied with required governance arrangements.

Providers are able to determine their own process to be assured that they are compliant. NHSI have provided templates for boards to use. These are attached as appendix A and B to the report.

Condition G6 must be signed off by the Board no later than 31 May 2017 and be made public no later than one month following this.

Condition FT4 must be signed off by the board no later than 30 June 2017.

Providers must select confirmed or not confirmed for each declaration and set out relevant risks and mitigating actions in each case. Explanations must be provided for declarations not confirmed.

Recommendations:

To ask the Board to sign the declaration for G6 as “not confirmed” acknowledging the position of the Trust being rated as inadequate following an inspection by the CQC and subsequently being placed in special measures.
Assurances for the Board to consider in relation to declaration FT4 will be presented at the June meeting.

Strategic Risk Register

Performance KPIs year to date

Resource Implications (e.g. Financial, HR)

Assurance Implications:

Patient and Public Involvement (PPI) Implications.

Equality Impact

Information exempt from Disclosure

Requirement for further review?

